

03/01/02
JC796 U.S. PTO

03-05-02

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As modified by Blakely, Sokoloff, Taylor & Zafman LLP

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04688P058
(maximum 12 characters)

First Named Inventor Dan Kikinis

Title: FREEZING LIVE VIDEO FRAMES WITH INTERACTIVE LINKS

Express Mail Label No. EL672749165US

JC879 U.S. PRO
10/08/7778

03/01/02

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 15)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 3)
5. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**

10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney

11. English Translation Document (if applicable)

12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations

13. **Preliminary Amendment**

14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17A. Other: Certificate of Express Mail with copy of postcard showing contents of package.

17B. Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18A. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address
 Customer Number or Bar Code Label
here) or (Insert Customer No. or Attach Bar Code Label

Correspondence Address Below
NAME Tom Van Zandt

ADDRESS BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026
Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): Tom Van Zandt Registration No.: 43,219

Signature: Tom Van Zandt Date: 3/11/02

FEE TRANSMITTAL FOR FY 2002**TOTAL AMOUNT OF PAYMENT (\$)** 581.00**Complete if Known:**Application No. Not Yet AssignedFiling Date HerewithFirst Named Inventor Dan KikinisGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 04688P058**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	Code	(\$)	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
		Utility application filing fee	<u>370.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 370.00**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>25</u>	<u>- 20** = 5</u>	X <u>9.00</u> = <u>45.00</u>
Independent Claims	<u>6</u>	<u>- 3** = 3</u>	X <u>42.00</u> = <u>126.00</u>
Multiple Dependent			= _____

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	Code	(\$)
103	18	203
102	84	202
104	280	204
109	84	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 171.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>		
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
099	8,800	099	8,800
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
148	110	248	55
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
			<u>Fee Description</u>
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
			Non-English specification
			For filing a request for ex parte reexamination
			Request for inter parties reexamination
			Requesting publication of SIR prior to Examiner action
			Requesting publication of SIR after Examiner action
			Extension for reply within first month
			Extension for reply within second month
			Extension for reply within third month
			Extension for reply within fourth month
			Extension for reply within fifth month
			Notice of Appeal
			Filing a brief in support of an appeal
			Request for oral hearing
			Petition to institute a public use proceeding
			Petition to revive – unavoidable
			Petition to revive - unintentional
			Utility issue fee (or reissue)
			Design issue fee
			Plant issue fee
			Petitions to the Commissioner
			Processing fee under 37 CFR 1.17(q)
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
			For filing a submission after final rejection (see 37 CFR 1.129(a))
			Statutory Disclaimer
			For each additional invention to be examined (see 37 CFR 1.129(b))
			Request for Continued Examination (RCE)
			Request for expedited examination of a design application
			Publication fee for early, voluntary, or normal pub.
			Publication fee for republication
			Request for voluntary publication or republication
			Processing fee under 37 CFR 1.17(i) (except provisionals)
			Acceptance of unintentionally delayed claim for priority
			<u>Fee Paid</u>

Other fee (specify) _____

Other fee (specify)

SUBTOTAL (3) \$40.00

***Reduced by Basic Filing Fee Paid**

SUBMITTED BY:

Typed or Printed Name: Tom Van Zandt

Signature: Tom VanSickle **Date:** 3/1/02

Reg. Number: 43,219 **Telephone Number:** (408) 720-8300

"Express Mail" mailing label number: EL672749165US

Date of Deposit: March 1, 2002

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Leah Resendez

(Typed or printed name of person mailing paper or fee)

Leah Resendez

(Signature of person mailing paper or fee)

3-1-02

(Date signed)

Serial/Patent No.	<u>Not Yet Assigned</u>	Filing/Issue Date:	<u>Herewith</u>
Client:	<u>1SurfTV Corporation</u>		
Title:	<u>FREEZING LIVE VIDEO FRAMES WITH INTERACTIVE LINKS</u>		
BSTZ File No.	<u>04688P058</u>	Atty/Secty Initials:	<u>JPW/TAV/lr</u>
Date Mailed:	<u>March 1, 2002</u>	Docket Due Date:	<u>***</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:			
<input type="checkbox"/> Amendment/Response (____ pgs.)	<input checked="" type="checkbox"/> Express Mail No. <u>EL672749165US</u>	<input type="checkbox"/> Check No. <u>48587</u>	
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> Month(s) Extension of Time	<input type="checkbox"/> Amt. <u>\$541.00</u>	
<input checked="" type="checkbox"/> Application - Utility (____ 15 pgs. with cover and abstract)	<input checked="" type="checkbox"/> Itemized Disclosure Statement & PTO-149 (____ 4 pgs.)	<input checked="" type="checkbox"/> Check No. <u>48586</u>	
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	<input type="checkbox"/> Amt. <u>\$40.00</u>	
<input type="checkbox"/> Application - Rule 1.53(h) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal		
<input type="checkbox"/> Application - Rule 1.53(h) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time		
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for		
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard		
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)		
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)		
<input checked="" type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)		
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Response to Notice of Missing Parts		
<input checked="" type="checkbox"/> Declaration & POA (____ 5 pgs.) (<u>executed</u>)	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business		
<input type="checkbox"/> Evidence Docs & Org & Copy of Inventor's Signature (____ pgs.)	<input type="checkbox"/> Transmittal Letter in duplicate		
<input checked="" type="checkbox"/> Drawings (____ 3 # of sheets includes ____ 3 figures)	<input type="checkbox"/> Fee Transmittal in duplicate		
<input checked="" type="checkbox"/> Other Copies of cited references and Certificate of Express Mail with copy of postcard showing contents of package.			